

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date
10 June 2004 (10.06.2004)

PCT

(10) International Publication Number
WO 2004/049463 A1

(51) International Patent Classification⁷: H01L 35/04,
35/18, 35/32, 35/34

(21) International Application Number:
PCT/US2003/037633

(22) International Filing Date:
25 November 2003 (25.11.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/428,753 25 November 2002 (25.11.2002) US

(71) Applicants and

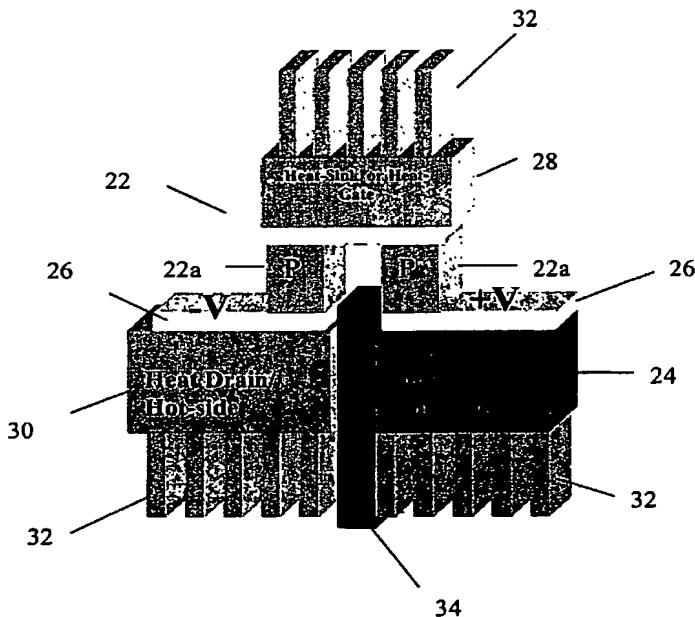
(72) Inventors: VENKATASUBRAMANIAN, Rama [US/US]; 104 Old Rockhampton Lane, Cary, NC 27516 (US). COONLEY, Kip [US/US]; 827 Burch Avenue, Durham, NC 27701 (US). SHIVOLA, Edward [US/US]; 5169 Shield Circle, Raleigh, NC 27603 (US). PUCHAN, Michael [US/US]; 205 Cobblestone Court, Clayton, NC 27520 (US). ALLEY, Randy [US/US]; 2817 Claremont Road, Raleigh, NC 27608 (US). ADDEPALLI, Pratima [IN/US]; 524 Woodway Bluff Circle, Cary, NC 27513 (US). O'QUINN, Brooks [US/US]; 585 Cool Springs Road, Lillington, NC 27546 (US). COLPITTS, Thomas [US/US]; 2917 Scuppernong Lane, Durham, NC 27703 (US).

(74) Agent: KUESTERS, Eckhard, H.; Oblon, Spivak, McClelland, Maier & Neustadt, P.C., 1940 Duke Street, Alexandria, VA 22314 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR,

[Continued on next page]

(54) Title: TRANS-THERMOELECTRIC DEVICE



WO 2004/049463 A1

(57) Abstract: A thermoelectric device having at least one unipolar couple element (22) including two legs (22a) of a same electrical conductivity type. A first-temperature stage (24) is connected to one of the two legs. A second-temperature stage (26) is connected across the legs of the at least one unipolar couple element. A third-temperature stage (30) is connected to the other of the two legs. Methods for cooling an object and for thermoelectric power conversion utilize the at least one unipolar couple element to respectively cool an object and produce electrical power.

BEST AVAILABLE COPY



KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) **Designated States (regional):** ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- *with international search report*
- *with amended claims*

Date of publication of the amended claims:

10 September 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

comprises:

a p-p couple with each leg of said two legs having at least one of a different material composition and a different structure from the other leg.

5 27. The device of Claim 26, wherein the p-p couple comprises:

a p-type $\text{Bi}_{1.0}\text{Sb}_{1.0}\text{Te}_3$ thermoelement; and
a p-type $\text{Bi}_{0.5}\text{Sb}_{1.5}\text{Te}_3$ thermoelement.

28. The device of Claim 26, wherein the p-p couple comprises:

10 a p-type 10 Angstrom/30 Angstrom $\text{Bi}_2\text{Te}_3/\text{Sb}_2\text{Te}_3$ superlattice thermoelement; and
a p-type 10 Angstrom/50 Angstrom $\text{Bi}_2\text{Te}_3/\text{Sb}_2\text{Te}_3$ superlattice thermoelement.

15 29. The device of Claim 1, wherein the at least one unipolar couple element comprises:

a n-n couple with each leg of said two legs having at least one of a different material composition and a different structure from the other leg.

20 30. The device of Claim 29, wherein the n-n couple comprises:

an n-type $\text{Bi}_2\text{Te}_{2.5}\text{Se}_{0.5}$ thermoelement; and
an n-type $\text{Bi}_2\text{Te}_{2.85}\text{Se}_{0.15}$ thermoelement.

31. The device of Claim 29, wherein the n-n couple comprises:

25 an n-type 10 Angstrom/30 Angstrom $\text{Bi}_2\text{Te}_3/\text{Bi}_2\text{Te}_{2.85}\text{Se}_{0.15}$ superlattice thermoelement; and
an n-type 10 Angstrom/50 Angstrom $\text{Bi}_2\text{Te}_3/\text{Bi}_2\text{Te}_{2.85}\text{Se}_{0.15}$ superlattice thermoelement.

30 32. A thermoelectric device comprising:

at least one unipolar couple element having two legs of a same conductivity type;

an intermediate-temperature stage connected between said legs of the at least one unipolar couple element on a common side of the unipolar couple element; and

electrical contacts to each leg of the unipolar couple element such that current flows in opposite directions in adjacent legs of the unipolar couple elements.

33. The device of Claim 32, wherein said at least one unipolar couple element
5 is configured such that current flows in opposite directions in the legs of the at least one unipolar couple element to establish a temperature differential across the two legs of said unipolar couple element.

34. The device of Claim 32, wherein said at least one unipolar couple element
10 is configured to generate at least one of an electrical potential and an electrical current from a temperature differential established across the two legs of said unipolar couple element.

35. The device of Claim 32, wherein the at least one unipolar couple element
15 comprises:

a p-p couple with each leg of said two legs having at least one of a different material composition and a different structure from the other leg.

36. The device of Claim 32, wherein the at least one unipolar couple element
20 comprises:

a n-n couple with each leg of said two legs having at least one of a different material composition and a different structure from the other leg.

37. A thermoelectric device comprising:

25 at least a four-temperature-terminal device including,
a p-p unipolar couple element having legs of a p-type electrical conductivity,
a first intermediate temperature stage connected across said legs of the p-p unipolar couple element,
30 a n-n unipolar couple element having legs of an n-type electrical conductivity,
and
a second intermediate temperature stage connected across said legs of the n-n unipolar couple element and operated at a temperature different than first intermediate temperature stage.

35 38. The device of Claim 37, further comprising:

electrical contacts connecting to each of said legs of the p-p and said legs of the n-n unipolar couple elements, said electrical contacts are connected such that currents flow in opposite directions in each of the legs of the p-p unipolar couple element and in each of the legs of the n-n unipolar couple element to establish a 5 temperature differential across each of the p-p unipolar couple element and the n-n unipolar couple element.

10 39. The device of Claim 37, wherein said p-p unipolar couple element and said n-n unipolar couple element are configured to generate at least one of an electrical potential and an electrical current from a temperature differential established across said p-p unipolar couple element and said n-n unipolar couple element.

15 40. A thermoelectric device comprising:
a heat source;
means for generating currents flowing in opposite directions in two legs of a thermoelectric material of a same conductivity type, said means coupled to said heat source;
an intermediate-temperature stage connecting to a common side of the legs of 20 the thermoelectric material toward the heat source; and
a heat sink coupled to said two legs and configured to dispose heat from said thermoelectric device.

25 41. The device of Claim 40, further comprising:
an intermediate-temperature stage connected across said two legs; and
a temperature controller configured to control a temperature of the intermediate-temperature stage.

30 42. The device of Claim 40, wherein said means for generating currents comprise:
a metal contact interposed between and connecting to said two legs;
two electrical contacts connected to respective ends of said two legs opposite said metal contact; and
35 a voltage applicator configured to apply an opposite voltage potential to respective of said electrical contacts.

43. The device of Claim 40, wherein said means for generating currents are configured to provide said currents to establish a temperature differential across the two legs.

5

44. The device of Claim 40, wherein said means for generating currents are configured to generate, from a temperature differential across said two legs, at least one of an electrical potential and an electrical current.

10

45. The device of Claim 40, wherein said means for generating currents comprise:

a p-p couple with each leg of said two legs having at least one of a different material composition and a different structure from the other leg.

15

46. The device of Claim 40, wherein said means for generating currents comprise:

a n-n couple with each leg of said two legs having at least one of a different material composition and a different structure from the other leg.

20

47. A method for cooling an object, comprising:

conducting heat from the object to a thermoelectric device including a unipolar couple element having two legs of a thermoelectric material of a same conductivity type; and

25

flowing currents in opposite directions in said two legs to transport said heat across each of said legs in a direction away from said object; and

disposing of said heat from the thermoelectric device through a heat sink into an ambient environment.

30

48. The method of Claim 47, further comprising:

controlling a temperature of an intermediate-temperature stage connected between said legs.

35

49. The method of Claim 47, wherein said flowing currents comprises:

applying opposite voltage potentials to respective of two electrical contacts at ends of said two legs.

50. The method of Claim 47, wherein said flowing currents establishes a temperature differential across the two legs to cool said object.

5 51. A method for thermoelectric power conversion, comprising:
extracting heat from a heat source coupled to a thermoelectric device including a unipolar couple element having two legs of a thermoelectric material of a same conductivity type; and
maintaining a temperature differential across the thermoelectric device to a
10 heat sink to produce electrical power from the thermoelectric device; and
dissipating heat from said heat sink into an ambient environment.

15 52. The method of Claim 51, further comprising:
controlling a temperature of an intermediate-temperature stage connected
between said legs to produce electrical power.

20 53. The method of Claim 51, further comprising
controlling a temperature of an intermediate stage by introducing a fluid exiting from a hot-stage coupled to the heat source onto the intermediate stage.

54. The method of Claim 53, wherein said controlling a temperature mixes
said fluid
exiting from a hot-stage with a lower-temperature fluid.

25 55. The method of Claim 51, wherein said maintaining a temperature differential generates at least one of an electrical potential and an electrical current from the thermoelectric device.
term of imaging elements.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/37633

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : H01L 35/04, 35/18, 35/32, 35/34
US CL : 136/201, 203, 205, 211, 212, 240

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
U.S. : 136/201, 203, 205, 211, 212, 240

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P ---	US 2003/0209014 A1 (CHANG et al) 13 November 2003 (13.11.2003), Figure 3, and paragraph 0008.	32-36, 40-55
A,P		1-31, 37-39,
Y	JP 2002-111080 A (OKADA) 12 April 2002 (12.04.2002), Figure 1.	1-55
Y	JP 2002-232028 A (ONOE et al) 16 August 2002 (16.08.2002), Figures 1, 4, and 5.	1-55
A	US 3,615,870 A (CROUTHAMEL) 26 October 1971 (26.10.1971).	1-55
A	US 2002/0046762 A1 (ROSSI) 25 April 2002 (25.04.2002).	1-55

Further documents are listed in the continuation of Box C.

See patent family annex.

Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

20 April 2004 (20.04.2004)

Date of mailing of the international search report

05 MAY 2004

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US
Commissioner for Patents

P.O. Box 1450
Alexandria, Virginia 22313-1450

Facsimile No. (703) 305-3230

Authorized officer

Alan Diamond

Telephone No. 571-272-1700